Ethical Analysis of Normothermic Regional Perfusion (NRP)

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White Papers and the OPTN Ethics Committee

- White papers are developed to analyze a complex issue
 - White papers often develop a stance on an issue or offer recommendations for future work
 - White papers do not change policy or dictate practice
- The OPTN Ethics Committee makes recommendations to Board of Directors for changing, creating, or eliminating policies if warranted by ethical concerns
 - Aims to guide the policies and practices of the OPTN related to organ donation, procurement, distribution, allocation, and transplantation so they are consistent with ethical principles
 - Does not develop policy or modify data collection

Uniqueness of NRP as an approach to organ procurement

- Involves the restoration of circulation regionally in the donor body, before the organs are removed, and after circulatory death is declared
 - Standard donation after circulatory death (DCD) does not entail blood circulation within the body after death is declared owing to cessation of circulation
- Methods such as ligation of vessels are used to prevent circulation from reaching the brain
 - This is done to prevent cerebral blood flow
 - Thoraco-abdominal NRP (TA-NRP) also involves recirculation through the heart
- These unique elements of NRP raise questions whether the Dead Donor Rule is violated

Need for Ethical Review

- NRP has become more prevalent, underscoring the importance of an ethical analysis
 - Reliance on local ethics committees while bringing questions to national organizations
 - Variation in NRP practices and disclosure
 - Lack of ethical consensus regarding use of NRP as a perfusion technique reflected in spectrum of willingness of programs and OPOs to participate
- Request to conduct an analysis given this context of increased adoption and ethical questions

Deliberative Process

- We used a stakeholder engaged approach:
 - Diverse stakeholders engaged, transparent process, and agreeing to terms on the outset
- Workgroup reflected varying perspectives on NRP and included transplant surgeons, ethicists, lawyers, OPOs executives, coordinators, and a donor family member
- In 15 meetings over 9 months, Workgroup received presentations from diverse stakeholders and contributed to a literature library of 60+ relevant publications
 - Presentations included those from European and American practitioners of NRP, organizations opposed to NRP, intensivists and neurologists

Uniform Declaration of Death Act (UDDA)

- The UDDA states that:
 - "An individual who has sustained either (1) irreversible cessation of circulatory and respiratory functions, or (2) irreversible cessation of all functions of the entire brain, including the brain stem, is dead. A determination of death must be made in accordance with accepted medical standards."
- UDDA is not federal law, provides guidance and acts as a model for enacting state laws
 - 37 states have adopted the UDDA
- Analysis considers the UDDA as it is currently written
 - Uniform Law Commission is discussing potential revisions to the UDDA

Purpose of White Paper

- Examine the ethical implications of NRP according to the ethical principles of
 - Nonmaleficence (do no harm)
 - Respect for persons (autonomy)
 - Utility
- Principles are established in the OPTN white paper "Ethical Principles in the Allocation of Human Organs"
 - Abiding by these ethical principles supports trust in the organ donation and transplantation system
 - Seeks to balance the ethical principles to which the Committee is bound

Nonmaleficence and the Dead Donor Rule

- Nonmaleficence (do no harm) requires compliance with the Dead Donor Rule
- Dead Donor Rule states:
 - Donors must be dead at the time of organ procurement (i.e., meet criteria for brain or circulatory death) and
 - Organ donation must not cause death
- Definition used in this analysis is consistent with the OPTN Ethics
 Committee formulation of the Dead Donor Rule described in "Ethical
 Considerations of Imminent Death Donation"

Implications of Nonmaleficence for NRP

- Unclear whether NRP violates the Dead Donor Rule
 - Circulation is restored after circulatory death has been declared, raising meaningful questions about whether the person continues to meet criteria required for determination of death
- More research is needed to confirm there is no blood flow to the brain or brainstem during NRP
 - Specifically, more robust evidence should address potential collateral flow through the spinal cord
 - Potential for harm if inadvertent cerebral blood flow occurs

Implications of Respect for Persons for NRP

- Respect for persons requires transparency and informed decision-making
- May promote respect for persons by honoring first-person authorization for donation as a way of maximizing the gift
- Need to uphold informed decision-making for donor families, given that perspectives on NRP vary more than for other more established forms of organ transplant
- Informed decision-making indicates that the unique elements of NRP should be disclosed:
 - Circulation will be restored regionally (abdominal or A-NRP) and this may include the heart (TA-NRP), at a time the donor has not been assessed for brain death criteria
 - Potential for any cerebral perfusion for TA- and A-NRP

Uncontrolled Scenarios

- Circulatory death occurs unexpectedly, not after withdrawal of lifesaving treatment (WLST)
 - The transition from living patient to organ donor is rapid and potentially confusing
 - Compressed timing and difficulty of informed decision-making raises concerns about nonmaleficence and respect for persons
- Concern regarding nonmaleficence and respect for persons do not justify performing uncontrolled scenarios for NRP

Implications of Utility for NRP

- Great potential for increasing number and quality of solid organs procured from DCD donors
 - There may be an increase in average number of organs transplanted per donor with NRP and decreased rate of allograft failure post-transplant
- More data are needed to compare NRP to other machine perfusion techniques, which don't have same concerns with the Dead Donor Rule and may have similar utility gains.
- The Committee considers that utility and equity must be balanced
 - Justification for any one principle is necessary, but not sufficient, for arriving at a conclusion about NRP

Conclusions

- Proceed, but cautiously, regarding the practice of NRP for organ procurement
- Ethical concerns requiring consideration and resolution include:
 - Assurance that NRP adheres to the Dead Donor Rule.
 - Nonmaleficence must not be violated in the pursuit of NRP, even if positive utility outcomes could result.
 - Standardized and transparent protocols, including adequate informed decision making with patients (pre-mortem) and of families approached about donation, are necessary pre-conditions for any ethical pursuit of NRP.
 - The Committee agreed that the uncontrolled scenarios for any form of NRP should not be performed at this time because of added concern regarding nonmaleficence and respect for persons.

What do you think?

- What information should be disclosed to potential donors and next of kin regarding NRP, and how should one approach disclosure?
- Are there any ethical arguments or additional evidence that haven't been presented that should be taken into account in the analysis?