

Briefing Paper

# Living Organ Donation by Persons with Certain Fatal Diseases

*OPTN/UNOS Ethics Committee*

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# Living Organ Donation by Persons with Certain Fatal Diseases

*Affected Policies:* None  
*Sponsoring Committee:* Ethics  
*Public Comment Period:* July 31, 2017 – October 2, 2017  
*Board of Director's Date:* December 4-5, 2017

## Executive Summary

Beginning in 1993, the Ethics Committee (the Committee) developed a series of white papers that are available through the OPTN website. A white paper is an authoritative report or guide that informs readers concisely about a complex issue and presents the issuing body's philosophy on the matter. It is meant to help readers understand an issue, solve a problem, or make a decision.

In 2013, the OPTN implemented new informed consent policies (*Policy 14.3: Informed Consent Requirements*) for living kidney donors. New informed consent policies for other types of living donors followed in 2014. These new policies included absolute contraindications (Living Donor Exclusion Criteria) to living donation.

Some terminally ill patients may desire to be living donors but may not be afforded the opportunity to donate based on confusion with existing OPTN policies for living donor informed consent, medical evaluation, and post-donation reporting policy requirements. If a potential living donor patient is competent and can provide informed consent, a terminal disease should not preclude organ donation and would not violate existing policy. Based on published and anecdotal reports, members may need guidance regarding how to handle potential living donors with certain fatal diseases who meet the criteria to be living donors.

## What problem will this resource address?

In February 2014, the OPTN implemented living donor informed consent requirements, which included some absolute contraindications to living donation.

Anecdotal and published reports reveal that transplant hospitals have been reluctant to approve persons with certain fatal diseases for living donation due to concerns over violating informed consent policy requirements and because all living donor deaths within two years of the organ donation date must be reported to the OPTN through the Improving Patient Safety Portal.<sup>1, 2</sup>

This white paper will address the scenario of an individual:

- Who wishes to be a living organ donor
- Who has a progressive, incurable, chronic disease that is fatal and will ultimately be terminal
- Whose fatal disease would not put the individual at unreasonably high risk, as determined mutually by the transplant hospital and the living organ donor, for an adverse outcome after donating
- Whose fatal disease has not led to substantial reduction in the medical quality of the organ to be recovered and transplanted.

## Why should you support this resource?

This white paper demonstrates that the Ethics Committee continues to consider and provide guidance on important and timely ethical issues faced by the transplant community. This white paper will be a resource that members could consult if considering living donation by persons with certain fatal diseases who meet the criteria to be living organ donors.

## How was this resource developed?

In 2016, the Committee developed a new white paper addressing the ethical implications of Imminent Death Donation (IDD). IDD is a term that has been used for the recovery of a living donor organ immediately prior to an impending and planned withdrawal of ventilator support expected to result in the patient's death. IDD applies to at least two types of potential donors:

- (1) An individual who is not brain dead and has a devastating neurologic injury that is considered irreversible. The individual would be unable to participate in medical decision-making; therefore, decisions about organ donation would be made by a surrogate or might be addressed by the potential donor's advanced directive.
- (2) An individual who has capacity for medical-decision making, is dependent on life support, has decided not to accept further life support and indicates the desire to donate organs prior to foregoing life support and death.

The Ethics Committee limited its focus to the first scenario involving an individual with devastating neurological injury that would require surrogate consent. This white paper was sent for public comment and subsequently approved by the OPTN/UNOS Board in December 2016.<sup>3</sup>

During the development of the IDD white paper, the Committee received feedback indicating there was confusion in the transplant community regarding when it would be appropriate to consider living donation by competent terminally ill donors. (The second scenario described above.)

In response, in March 2016 the Committee proposed developing a white paper to provide guidance on living donation by persons with certain fatal diseases who meet the criteria to be living organ donors. This

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<sup>1</sup> <http://www.nydailynews.com/news/national/dying-michigan-woman-leave-donate-organs-article-1.1421125>

<sup>2</sup> Mezrich J & Scalea. As they lay dying. The Atlantic. April 2015, Health. <http://www.theatlantic.com/magazine/archive/2015/04/as-they-lay-dying/386273/.1421125>

<sup>3</sup> UNOS Ethics Committee. Ethical Considerations of Imminent Death Donation. 2016.

project was subsequently approved by the Policy Oversight Committee (POC) and the Executive Committee of the OPTN Board of Directors.

In January 2017, an Ethics Committee work group began meeting by web conference on a regular basis to develop this white paper. In April 2017, this white paper was reviewed at a full Ethics Committee meeting and the members discussed how to address some inconsistent feedback regarding some content in the white paper.

In April 2017, the Operations and Safety Committee was asked to provide feedback regarding this white paper during its final stages of development. The Operations and Safety Committee was generally supportive of the white paper. Specific feedback concerning the white paper included:

- Questions concerning the definitions of the terms “fatal” and “terminal.”
- The timeline provided in the white paper was confusing.
- Questions whether the terminology used in the paper was widely accepted by the palliative care community.
- Questions concerning how the current requirement to report a living donor death within two years of the date of organ donation may change in response to the white paper.
- Concern that the patients with certain fatal diseases could be encouraged to consider living donation, specifically, the potential donor must initiate any discussion regarding donation.

The Living Donor Committee reviewed this white paper on June 14, 2017. The Living Donor Committee was generally supportive of the white paper but opined that some of the final recommendations may be too strong. The Living Donor Committee commented that if the white paper is supported by the OPTN, the OPTN should determine which policies for living donor informed consent, psychosocial and medical evaluation, and follow-up should or should not be necessary or appropriate for individuals with certain fatal diseases who wish to be living organ donors. Additionally, the OPTN should take steps to remove disincentives and undue scrutiny of transplant hospitals (e.g. reporting all living donor deaths within two years of the date of organ donation) that undertake the recovery of organs from individuals with certain fatal diseases who wish to be living organ donors.

The Committee met by web conference on June 15, 2017, and reviewed a final draft of the white paper. The Committee revised the white paper to clarify some content and address some concerns raised by the Operations and Safety Committee. The Committee considered the comments from the Living Donor Committee. The Committee opined that the final recommendations in the paper were appropriate and the Living Donor Committee would best suited to determine which policies for living donor informed consent, psychosocial and medical evaluation, and follow-up should or should not be necessary or appropriate for individuals with certain fatal diseases who wish to be living organ donors. Furthermore, since this white paper does not require changes in member actions, any policy decisions could be developed and approved subsequent to the development of this resource. The Committee approved sending the white paper for public comment.

## **Was this Resource Changed in Response to Public Comment?**

This white paper was distributed for public comment from July 31 through October 2, 2017. This white paper was on the consent agenda for regional meetings. The consent agenda was approved in all regions. All general public response during the public comment period supported the proposal. All transplant professional societies, and most OPTN committees, supported the white paper with several recurrent themes identified in their responses. Of note, one advocacy group, Not Dead Yet, submitted a response in opposition to the white paper. The themes identified from public comment are displayed in Table 1.

**Table 1 – Themes Identified from Public Comment**

Themes	Group Submitting Response
Complexity of potential policy modification to operationalize this concept	American Society of Transplantation (AST), American Society of Nephrology, Operations and Safety Committee, Membership and Professional Standards Committee, Living Donor Committee
Need for public education to avoid erosion of public trust	American Society of Transplant Surgeons (ASTS), AST, American Society of Nephrology, Living Donor Committee, Patient Affairs Committee, Transplant Coordinators Committee, Transplant Administrators Committee
Need to remove barriers for transplant programs to participate in this donation scenario	ASTS, NATCO (The Organization for Transplant Professionals)
Impact on the donor pool, and total number of organs available for transplant	NATCO, American Society of Nephrology, Living Donor Committee
Difficulty of determining the level of risk to potential donors associated with organ donation	AST, Living Donor Committee
Importance of respecting donor autonomy in decision process	The Alliance, American Society of Nephrology

The Ethics Committee met on October 2, 2017, (the last day of the public comment period) and reviewed public comment responses.

The Committee determined that some of the themes identified from public comment, such as the need to remove disincentives and importance of respecting donor autonomy, were adequately addressed in the white paper and the paper would not need modification to address those themes.

The white paper was updated to acknowledge the complexity of potential policy modification that could be required to operationalize this concept. The original draft of the white paper proposed that a subset of existing living donor informed consent and medical evaluation policies should be adequate for potential living donors with life-limiting illnesses. The white paper recommends that the OPTN should work with the transplant community, including patients and families, to determine if current policies for living donor informed consent, psychosocial and medical evaluation and follow-up are adequate and appropriate for individuals with certain life-limiting illnesses who wish to be living donors. If not the OPTN could propose new and additional requirements that would require and would be evaluated through future public comment.

The white paper was revised to remove content addressing the potential impact on the donor pool, and total number of organs available for transplant. The white paper originally addressed whether there would be a potential of recovering fewer organs through living donation, than could be recovered if the individual with certain life-limiting illnesses only donated organs as a deceased donor. The Committee understood there was a lack of data to address this question and that the question was ethically problematic because it would apply to any potential living donor (e.g. all potential living donors could be able to donate more organs as deceased donor than they could donate as a living donor).

The white paper was revised in response to questions concerning the difficulty of determining the level of risk to potential donors associated with organ donation. The Committee developed this white paper as an ethical analysis of organ donation by persons with certain fatal diseases. The Committee acknowledges there will be a need to determine the level of risk for each potential donor. The white paper clearly proposes that living donation should only be considered if both the potential donor and the transplant center mutually agree that the level of risk would be acceptable. It is beyond the scope of this white paper to address the general increased risk of organ donation by individuals with certain life-limiting or fatal

diseases. If this concept is supported by the transplant community, then other OPTN Committees and transplant professional organizations could study and address the questions regarding risk.

Based on feedback from its members, the Committee discussed and ultimately supported removing all content regarding living donation prior to physician assisted suicide from the white paper. The Committee determined that the content on physician assisted suicide distracted from the main focus of the white paper. This topic could be reconsidered in the future if it becomes legal in more states.

Committee leadership prepared a revised draft of the white paper to address public comment concerns and recommendations for improving the white paper submitted by Committee members. The Committee met by web conference on October 19, 2017, and reviewed a revised draft of the white paper. Significant changes in the revised draft included:

- Using the phrase “life-limiting illness” rather than the “fatal disease”, a term found in current palliative care and disability research literature and is used to describe a medical condition, disease or illness which is progressive and fatal and which cannot be reversed by treatment
- Emphasizing that the life-limiting illness (at the point of donation) should not put the individual at unreasonably high risk, as determined mutually by the transplant hospital and the potential donor
- Clarifying that any changes to existing policy to facilitate living donation by persons with life-limiting illness would need to be proposed by other OPTN Committees and would require public comment

In November, the leadership of the Ethics and Living Donor Committee met to reach consensus regarding some areas of concerns in the white paper. Following the meeting, a letter was sent to the leadership of the Living Donor Committee to outline how each of their concerns had been addressed.

In November, a final draft of the white paper was distributed to members of the Ethics Committee. Members were asked to respond to approve sending the white paper for consideration by the OPTN/UNOS Board.

In November, Ethics Committee leadership prepared and sent a written response to Not Dead Yet to address their response to the white paper during public comment. The response included an updated draft of the white paper reflecting post public comment changes.

## **Which populations are impacted by resource?**

This resource could be helpful to all patients with certain terminal illnesses, potential living donors, families or surrogates, and hospitals considering living donation by persons with certain fatal diseases who meet the criteria to be living donors.

## **How does this resource impact the OPTN Strategic Plan?**

1. *Increase the number of transplants:* Guidance on living donation by the terminally ill could contribute to an increase in the number of transplants.
2. *Improve equity in access to transplants:* Guidance on living donation by the terminally ill could improve equity in access to transplants. Potential donor with terminal illnesses currently are not considered as potential living organ donors in most hospitals. So, disparities in donation based on a patient’s medical condition is a concern.
3. *Improve waitlisted patient, living donor, and transplant recipient outcomes:* There is no impact to this goal.
4. *Promote living donor and transplant recipient safety:* There is no impact to this goal.
5. *Promote the efficient management of the OPTN:* There is no impact to this goal.

## **How will the OPTN implement this resource?**

If this resource is approved, it will be available through the OPTN website.

## **How will members implement this resource?**

Members will not need to take any action to implement this resource. Members could choose to consult this resource on a voluntary basis.

## **Will this resource require members to submit additional data?**

No, this resource does not require additional data collection.

## **How will members be evaluated for compliance with this resource?**

This resource does not affect member compliance. Members could consult this resource on a voluntary basis.

# White Paper

RESOLVED, that the white paper entitled “Living Organ Donation by Persons with Certain Life-Limiting Illness,” as set forth below, is hereby approved, effective December 5, 2017.

## Living Organ Donation by Persons with Certain Life-Limiting Illness

### Summary and Goals

The purpose of this white paper is to provide an ethical analysis of living donation (kidney or liver segment) by persons living with life-limiting illness who want to be living organ donors. The paper concludes that living donation by clinically eligible individuals with life-limiting illnesses is, conceptually, an ethically sound practice, and that the determination of eligibility for living donation should be made by the individual’s healthcare team.

Transplant hospitals may be reluctant to consider living donation by persons with certain life-limiting illnesses because:

- The individual may not meet standards currently required for living organ donation by transplant hospitals or regulatory guidelines because of a having a life-limiting illness.
- The individual may be at increased risk of complications or death after donation related to their life-limiting illness.
- Transplant hospitals must report living donor deaths to the OPTN or other regulatory authorities.

The goals of this white paper are to:

- Identify and address the ethical issues pertaining to living organ donation by persons with certain life-limiting illnesses.
- Identify the potential benefits and harms of living organ donation to persons with certain life-limiting illnesses.
- Provide an ethical analysis for the transplant community to consider if they decide to adapt, revise, or develop policies related to living organ donation to accommodate persons with certain life-limiting illnesses.

This white paper will address the scenario of an individual:

- Who wishes to be a living organ donor.
- Who has a progressive, incurable, chronic disease that is life-limiting (e.g., patients recently diagnosed with Amyotrophic Lateral Sclerosis (ALS) or Multiple Sclerosis (MS)). This white paper does not define or delineate the specific clinical criteria of life-limiting illnesses that would enable individuals with life-limiting illnesses to become living donors. Rather, the Ethics Committee recognizes that healthcare providers are in the optimal position to make the determination of



- 41 whether an individual with life-limiting illnesses is clinically eligible for living  
42 donation on a case-by-case basis.
- 43 • Whose life-limiting illness (at the point of donation) would not put the individual at  
44 unreasonably high risk for an adverse outcome after donating, as determined by  
45 the individual's healthcare team at the transplant hospital.
  - 46 • Whose risks of living donation, once the individual is deemed eligible for living  
47 donation by the transplant hospital, are evaluated mutually through a shared  
48 decision making process between the transplant team and the potential living  
49 organ donor.
  - 50 • Whose life-limiting illness has not led to substantial reduction in the medical  
51 quality of the organ to be recovered and transplanted.

52 The OPTN/UNOS Ethics Committee (the Committee) reviewed and considered the  
53 limited published research and anecdotal reports on living organ donation by persons  
54 with certain life-limiting illness, and the reasons transplant hospitals may be reluctant to  
55 consider persons with such illnesses as living organ donors. Living donation by persons  
56 with certain life-limiting illnesses is not specifically prohibited under current OPTN  
57 Policy. The Committee considered the ethical principles guiding living donation, and  
58 concluded that persons with certain life-limiting illness should not be precluded from  
59 donation if those individuals can provide informed consent and meet current required  
60 informed consent and psychosocial and medical evaluation criteria required by the  
61 OPTN. The Committee understands that there may likely be a need for other OPTN  
62 Committees, in consultation with the transplant community, patients and their families,  
63 to propose and establish new and additional informed consent and psychosocial and  
64 medical evaluation criteria to adequately evaluate and protect potential living donors  
65 with certain life-limiting illnesses.

66 Based on this analysis, the OPTN could:

- 67 • Revise and expand criteria for living donation among those with life-limiting  
68 illnesses.
- 69 • Recognize the ethical justification of honoring the autonomy of persons with  
70 certain life-limiting illnesses as potential living organ donors.
- 71 • Support transplant hospitals and potential living donors by reducing disincentives  
72 and impediments to organ donation by persons with certain life-limiting illnesses.
- 73 • Make OPTN/UNOS regulatory oversight of transplant hospitals reasonable when  
74 individuals with life-limiting illnesses die from their underlying disease, and not  
75 from the living donation process itself.

76 OPTN Committees could establish explicit guidelines, propose new policy or amend  
77 existing policy to facilitate living organ donation by persons with certain life-limiting  
78 illnesses.

## 79 **Background**

### 80 **Problem**

81 As of March 2017, over 75,000 people were active on the organ transplant waitlist in the  
82 United States (U.S.).<sup>4</sup> The gap between those needing an organ transplant and organ  
83 availability continues to increase. Therefore, increasing the pool of organ donors, both  
84 deceased and living, is a critical public health need.

85 Since 2006, transplant hospitals have been required to report to the OPTN living donor  
86 deaths within two years of the donation date.

87 In 2013, the OPTN implemented new informed consent policies (*Policy 14.4.*  
88 *D: Living Donor Exclusion Criteria*) for living kidney donors. New informed consent  
89 policies for other types of living donors followed in 2014. These new policies included  
90 absolute contraindications (Living Donor Exclusion Criteria) to living donation (such as  
91 an active malignancy or diabetes) which may contribute to concern or reluctance to  
92 considering living donation by person with certain life-limiting illnesses.

93 Under current OPTN Policy, transplant hospitals may be reluctant to consider a  
94 potential donor with certain life-limiting illnesses even if they meet medical and  
95 psychosocial and informed consent criteria for living organ donation. Transplant  
96 hospitals could be concerned that under current OPTN *Policy 18.6 (Reporting of Living*  
97 *Donor Adverse Events)*, it would be required to report a living donor death and could  
98 face scrutiny even if the death was due to the life-limiting illness, and not the donor  
99 surgery. When a transplant hospital reports a living donor death, the hospital reporting  
100 the event would typically provide a narrative describing the circumstances of the death,  
101 and the death may not require further investigation. Because individuals with a life-  
102 limiting illness are expected to die from their disease, transplant hospitals recovering an  
103 organ from a living donor with certain life-limiting illness could have higher rates of living  
104 donor events that could result in unreasonable regulatory scrutiny for the transplant  
105 hospital by the OPTN, which may serve as a disincentive.

106 This Committee previously developed a white paper addressing the ethical  
107 considerations of imminent death donation (IDD). (See OPTN/UNOS White Paper  
108 entitled *Ethical Consideration of Imminent Death Donation*) IDD is a term that has been  
109 used for the recovery of a living donor organ immediately prior to an impending and  
110 planned withdrawal of ventilator support expected to result in the patient's death. IDD  
111 applies to at least two types of potential donors:

- 112 1. An individual with devastating neurologic injury that is considered irreversible and  
113 who is not brain dead. The individual would be unable to participate in medical  
114 decision-making; therefore, decisions about organ donation would be made by a  
115 surrogate or might be addressed by the potential donor's advanced directive.
- 116 2. An individual who has capacity for medical-decision making, is dependent on life  
117 support, has decided not to accept further life support and indicates the desire to

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<sup>4</sup> UNOS. Transplant Trends. [https://www.unos.org/data/transplant-trends/#transplants\\_by\\_donor\\_type](https://www.unos.org/data/transplant-trends/#transplants_by_donor_type)

118 donate organs prior to foregoing life support and death. In such cases, the potential  
119 donors can provide informed consent and consequently no surrogate decision  
120 making is needed. An example of this case might be an individual with high cervical  
121 spinal cord injury.<sup>5</sup>

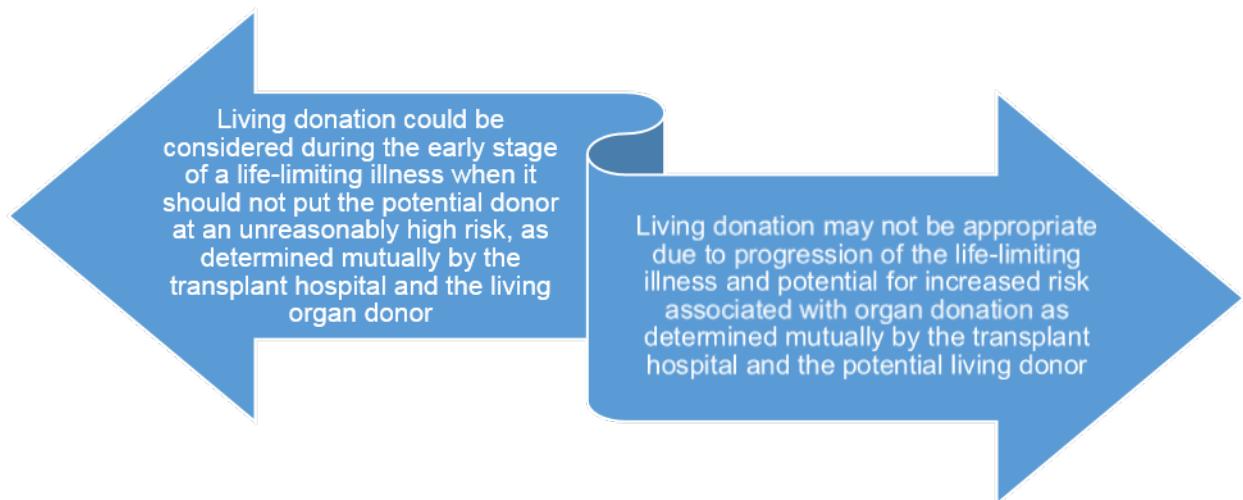
122 In contrast, this white paper provides an analysis of potential living donors who:

- 123 • Have a life-limiting illness.
- 124 • Meet the informed consent and psychosocial and medical evaluation policy  
125 requirements to be a living donor.
- 126 • Would donate and donation would be unlikely to dramatically alter their disease  
127 course.
- 128 • Would donate when it would not put the potential donor at unreasonably high  
129 risk, as determined by the transplant hospital, and decided upon mutually by the  
130 transplant team and the living organ donor.

## 131 Definitions

132 The following definitions will apply for this white paper:

- 133 • **Life-limiting illness** is a term found in current palliative care and disability research  
134 literature and is used to describe a medical condition, disease or illness which is  
135 progressive and fatal and which cannot be reversed by treatment.



136  
137 **Figure 1 – Visual representation for when it may be appropriate for living organ donation by persons**  
138 **with life-limiting illness**

139

<sup>5</sup> UNOS Ethics Committee. Ethical Considerations of Imminent Death Donation. 2016.

- 140 • **Capacity** refers to the ability of an individual to comprehend relevant information, to  
141 understand the meaning and consequences of a decision, to determine if the  
142 decision is consistent with their values and preferences, and to effectively  
143 communicate their decision.<sup>6</sup>
- 144 • **Competency** is a specific legal term used to indicate that an individual understands  
145 an act. Competency is a prerequisite and the first element in the informed consent  
146 process.
- 147 • **Life Support** refers to a therapy or device designed to preserve life and includes,  
148 but is not limited to, supplemental oxygen or mechanical ventilation, intravenous fluid  
149 therapy, sugars and salts, drugs to improve circulation, antibiotics, transfusions,  
150 surgery, nutritional supplementation (e.g., parenteral nutrition or feeding via a  
151 feeding tube), dialysis, pacemaker, electrical defibrillation, heart or lung assistance  
152 devices, transplantation of organs, and sedation and temporary paralysis.

## 153 **History of Living Organ Donation and Related Policies**

154 Between 5,500 and 6,000 living solid organ donor transplants are performed each  
155 year.<sup>7</sup> In September 2006, the OPTN Board approved a requirement for transplant  
156 hospitals to report to the OPTN all living donor deaths, and the failure of the live donors'  
157 native organ function, within 72 hours of transplant. The intent of the policy (*Reporting  
158 of Living Donor Adverse Events*) is to require timely reporting of deaths and serious  
159 events that affect the well-being of living donors. In 2013, the OPTN implemented new  
160 policy requirements for informed consent and for the psychosocial and medical  
161 evaluation of potential living donors.

162 Currently, transplant hospitals may face barriers to the evaluation and acceptance of  
163 living organ donors with certain life-limiting illnesses due to several important issues  
164 such as:

- 165 • The individual is not “healthy” in the sense that is usually required by the  
166 transplant hospital.
- 167 • The individual may be at increased risk of complications or death during or after  
168 surgery.
- 169 • Transplant hospitals must report living donor deaths to the OPTN, and are  
170 concerned that they could be scrutinized for reporting such events.

## 171 **Empirical Evidence and Public Support**

172 The Committee acknowledges that there is very limited available research on this issue.

173 A literature review yielded only one published study on outcomes of living donors who  
174 were “seriously ill”. The study, conducted in the Netherlands by Rakke and colleagues,  
175 included five kidney donors who were “seriously ill” (4.7% of all the living donors

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<sup>6</sup> Appelbaum & Grisso (1988). Assessing patients' capacity to consent to treatment. *NEJM*, 319: 1635-1638

<sup>7</sup> United Network for Organ Sharing: Data. <https://www.unos.org/data/>

176 evaluated at this center).<sup>8</sup> The “serious diseases” (comparable to what is referred to as  
 177 “life-limiting disease” in the U.S.) of the living organ donors included Huntington’s  
 178 disease (two living donors), stage III GOLD (Global Initiative for Chronic Obstructive  
 179 Lung Disease) criteria for chronic obstructive pulmonary disease and severe  
 180 emphysema, and grade II oligodendroglioma of right front lobe and multiple cerebral  
 181 and caudal ependymomas (central nervous system tumors with extremely low capacity  
 182 to metastasize from the central nervous system to the body).<sup>5</sup> According to the authors,  
 183 prior to donation, all five individuals were non-directed donors and were reported to be  
 184 psychologically healthy, had genuine motivations to donate, had adequate risk  
 185 perceptions of the surgery, and their cognitive functioning was within the normal range.<sup>5</sup>  
 186 The motivations of the five seriously ill living donors are included in Table 1.

187 **Table 1:** Motivations to Donate (Rakke et al, 2015)

Living Donor	Reported Motivations
Donor 1	The reason for kidney donation was partly feelings of “uselessness” and “insecurity” about his own body. With the donation, he wanted to help a person in need of a kidney transplantation. Furthermore, donation was driven by his Christian beliefs.
Donor 2	She reported that her main reason to donate was her experience of not being able to help a loved one with a kidney disease who ultimately died because of the organ shortage. Furthermore, she was afraid that her organs would not be usable after her death and therefore wished to donate a kidney while still alive.
Donor 3	The reason for donation was based on his opinion that, at the moment, he was physically and mentally still healthy enough to donate a kidney. Moreover, he was aware of the fact that kidneys from living-donors function better than those from deceased donors. He reported: “By doing this I can give something back to society, just like my Mother would have done, because she was a really helpful person. I am sure she would have been proud of what I am going to do.”
Donor 4	His reason for donation was driven by the death of his cousin, who died from cystic fibrosis. He reported: “I know what it feels like to be critically ill and how much it would mean for one to recover. Now I’m seriously ill, but my kidneys are still suitable for transplantation purposes.”
Donor 5	The motivation to donate a kidney was the desire to help someone. Furthermore, her friend experienced kidney insufficiency for which she had received a kidney from an acquaintance.

188  
 189 The average length of stay in the hospital for the living donors was five days and the  
 190 median follow up was 24.2 months. No surgical complications were reported.<sup>5</sup> Normal  
 191 serum creatinine and blood pressure were observed in all living donors after surgery.<sup>5</sup>  
 192 Pain and fatigue were reported by two living donors at three months post-surgical follow  
 193 up. Neither of the two remaining donors showed a decrease in renal function at their last  
 194 annual medical follow-up.<sup>5</sup> During the post-donor nephrectomy follow-up period, three  
 195 donors died from their disease: one donor died from ependymomas (2.4 years after  
 196 donating), one donor died with physician assisted suicide (0.6 years after donating), and  
 197 the third donor died with physician assisted suicide (4.9 years after donating).<sup>5</sup> It should  
 198 be noted that the sample size of this study was small (n=5), and that similar outcomes  
 199 may not be replicated at other centers or with other individuals with serious or life-

<sup>5</sup>Rakke YS, Zuidema WC, Hillhorts MT, Erdman RAM, Massey EK, Betjes MCH., Dor FJMF, Ijzermans JNM, Weimer W. Seriously ill patients as living unspecified kidney donors: Rational and Justification. *Transplantation*, 2015; 99(1):232-235.

200 limiting illnesses. The OPTN does not have comparable outcomes data for non-  
201 seriously ill living donors in the Netherlands or seriously ill living donors in other  
202 countries including the U.S.

203 In the last decade, public interest in and awareness of individuals with life-limiting illnesses  
204 who desire to donate an organ has increased. Several news stories have been reported  
205 in the popular press in the last five years.<sup>9,10</sup> The stories describe potential living donors with  
206 life-limiting illnesses (e.g., MS, Amyotrophic Lateral Sclerosis (ALS)) who were not approved  
207 for organ donation by transplant hospitals. Motivations of these donors included a desire  
208 to save someone's life; the desire to donate one or more viable organs, which may  
209 ultimately not be possible as a deceased organ donor and could be more feasibly  
210 accomplished in a living organ donation setting; and a desire "to control her own  
211 destiny". A recent survey of ALS neurologists across the U.S. indicates that one in four  
212 ALS patients may be interested in living donation. The survey found that a majority of  
213 these neurologists would support this opportunity for their patients, and that half of their  
214 patients had already inquired about such an opportunity.<sup>11</sup>

215 With any new transplant initiative, there is a potential to inadvertently affect public trust  
216 in unanticipated ways. The OPTN supports the importance of maintaining public trust  
217 and seeks to promote and preserve the integrity of the transplant system. The OPTN  
218 supports measures to uphold public trust which could include public education  
219 campaigns on the ethical and legal principles involved in living donation by persons with  
220 life-limiting illnesses. Additionally, if living donation by persons with life-limited illnesses  
221 is supported by the transplant community, the OPTN should investigate potential  
222 implications of this initiative on living donation rates, viability of transplantable organs,  
223 transplant centers, and effects, if any, on public trust.

## 224 **Ethical Considerations of Living Donation by Persons with** 225 **Certain Life-Limiting Illnesses**

226 Living organ donation by persons with certain life-limiting illnesses is supported by the  
227 ethical principles of autonomy, beneficence, justice, and nonmaleficence. These  
228 principles designate what would make an action ethically sound insofar as the specified  
229 ethical principle is involved, and are usually considered to help determine what would  
230 be "right, other things being equal," but may also be overridden by the weight of other  
231 ethical principles or virtues.

- 232 • **Respect for Autonomy** refers to the idea that actions are morally right insofar as  
233 they permit people to live according to their own life plans. It supports the idea of  
234 self-determination in that an individual's functioning is independent or free from  
235 interference from others, and the individual can make decisions on their own  
236 behalf. Individuals who have life-limiting illness **and who are potentially eligible**  
237 **to be living donors**, but who are not permitted by the transplant community to

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<sup>9</sup><http://www.nydailynews.com/news/national/dying-michigan-woman-leave-donate-organs-article-1.1421125>

<sup>10</sup> Mezrich J & Scalea. As they lay dying. The Atlantic. April 2015, Health.

<sup>8</sup><http://www.theatlantic.com/magazine/archive/2015/04/as-they-lay-dying/386273/.1421125>

<sup>11</sup> Physician perceptions about living organ donation in patients with Amyotrophic Lateral Sclerosis" (Clin Neurol Neurosurg. 2017 Sep; 160: 125-129)

238 be living organ donors, are denied their autonomy and meaningful choice. People  
 239 with life-limiting illness are entitled to no less autonomy than those without life-  
 240 limiting illness. As such, efforts should be made to allow interested persons the  
 241 opportunity to donate if their health permits. Living organ donation may be  
 242 perceived by individuals with certain life-limiting illnesses as a meaningful aspect  
 243 of end-of-life decision-making. Respect for autonomy entails affirming the  
 244 individual's willingness to proceed with donation, and not that an individual's  
 245 decision to donate can overrule the transplant hospital's determination of  
 246 eligibility. Living donation by persons with certain life-limiting illness reflects the  
 247 increased emphasis placed in the healthcare setting on patient-centered decision  
 248 making and shared decision making approaches. These approaches entail a  
 249 living donor's desire to provide greater input into treatment decision-making  
 250 process with the healthcare team with regard to acceptable risks as well as  
 251 determining whether the benefits of living donation outweigh the risks to  
 252 themselves and the potential recipient.<sup>12,13</sup>

- 253 • **Justice** is the principle that refers to the fair and equitable distribution of benefits  
 254 and burdens. Allowing individuals with life-limiting illness to donate their organs  
 255 permits the equitable distribution of the potential psychological benefits of  
 256 donation as well as sharing the potential burden of donation.
- 257 • **Beneficence** is the principle that an action is right insofar as it produces benefit  
 258 to the self or others. Living organ donation by persons with certain life-limiting  
 259 illness potentially benefits the recipient by improving their length of life and  
 260 quality of life. Accordingly, respecting the autonomy of persons with certain life-  
 261 limiting illness who want to be living organ donors could save more lives through  
 262 transplantation. A recipient's family members may also gain benefit especially if  
 263 they have been involved in the transplant candidate's care or are affected by the  
 264 transplant candidate's disease. Living donor organ transplantation may also  
 265 benefit the living organ donor as it may accord with the living donor's sense of  
 266 self-esteem and life meaning.<sup>14</sup>
- 267 • **Nonmaleficence** refers to the principle of "do no harm" or doing the least harm  
 268 possible. If the surgery does result in unacceptable harm, it violates the principle  
 269 of nonmaleficence. However, as described below, at times, other principles may  
 270 be considered that can justify taking actions that cause harm (such as the  
 271 principle of double effect). In medicine, nonmaleficence may be better  
 272 conceptualized as avoiding unreasonable risks rather than "do no harm" because  
 273 medical treatments and surgery carry a potential for side effects and  
 274 complications which may be harmful.

275 By taking these four principles into account, determining what is ethically sound medical  
 276 practice requires trade-offs. Hence, nonmaleficence may be compromised in medicine

<sup>12</sup> Thiessen C, Gordon EJ, Reese PP, Kulkarni S. Development of a Donor-Centered Approach to Risk Assessment: Rebalancing Nonmaleficence and Autonomy. *Am J Transplant*. 2015 Sep;15(9):2314-23.

<sup>13</sup> Gordon EJ, Butt Z, Jensen S, Lehr AL, Franklin JF, Sherman L, Becker Y, Chon J, Beauvais N, Hanneman J, McNatt G, Penrod D, Ison MG, Abecassis MM. Opportunities for Shared Decision Making in Kidney Transplantation. *American Journal of Transplantation* 2013;May;13(5):1149-58.

<sup>14</sup> Allen MB, Abt PL, & Reese PP. What are the harms of refusing to allow living kidney donation? An expanded view of the risks and benefits. *American Journal of Transplantation*, 2014; 14: 531-537.

277 to attempt to do good for the living donor organ recipient (beneficence) and to respect  
278 the living organ donor's wishes (autonomy). As with all cases of living donation, donors  
279 undergo medical risks, but the benefits of the donation may outweigh the risks to  
280 donors. Similarly, in the case of living organ donation by persons with certain life-limiting  
281 illness, although the act of surgery may bring harm, the potential benefits to the  
282 recipient and the living donor (beneficence), coupled with expressing respect for the  
283 donor's autonomy, may be more important considerations than the inherent medical risk  
284 to the living donor from organ donation.

285 Because this white paper aims to conceptually evaluate the ethical soundness of living  
286 donation by individuals with life-limiting illness, it is beyond the paper's scope to  
287 generate specific criteria or clinical practice standards to help establish equivalency  
288 between healthy and people with life-limiting illness in the context of living organ donor  
289 evaluation and selection. Living donation should only be considered if and when the  
290 individual would not be subject to unreasonably high risk as determined mutually by the  
291 transplant hospital and the living organ donor.

292 Yet, to respect the donor's autonomy, the most important consideration is that the  
293 transplant hospital properly disclose the magnitude of these risks to potential living  
294 donors so that potential living donors can evaluate these risks in light of their values and  
295 beliefs to make an informed decision. An individual with a life-limiting illness may  
296 plausibly have a higher (or lower) tolerance for the risk of donation-related  
297 complications compared to potential living donors in excellent health. Overall, the  
298 benefits to the transplant candidate and living donor frequently outweigh the risks.

## 299 **Important Considerations for Living Donation by Persons** 300 **with Certain Life-Limiting Illnesses**

301 The following issues and scenarios may be considered in the context of living organ  
302 donation by persons with certain life-limiting illnesses.

### 303 ***Capacity and Informed Consent***

304 In order to demonstrate an individual's capacity for informed consent, individuals with  
305 certain life-limiting illnesses must be able to engage in medical decision making, which  
306 entails comprehending the information, understanding the meaning and consequences  
307 of a decision, making an informed decision, and communicating the decision. Under  
308 existing OPTN Policy, a licensed psychologist, psychiatrist or master's level social  
309 worker can make the determination of capacity. However, to avoid conflict of interest,  
310 the clinicians performing the evaluation to determine capacity of the potential donors  
311 should ideally not be involved in the care of the intended transplant recipient to prevent  
312 a conflict of interest. Specific to persons with certain life-limiting illnesses, the informed  
313 consent process for living organ donation must: a) address potential peri-operative and  
314 post-operative risks, and b) be tailored to the specific situation of each potential living  
315 donor with life-limiting illness to ensure appropriate protections. Any revisions to the  
316 informed consent process, and peri-operative and post-operative care for living donors  
317 would require future public comment.

318



319 ***Withdrawal of Life Support After Donation***

320 All living donors, as with all patients utilizing the healthcare system, currently have the  
321 right to refuse life-sustaining treatment (i.e., mechanical ventilation or ‘life support’).  
322 Accordingly, individuals with certain life-limiting illnesses also have the right to refuse  
323 life support after donation.

324 ***Do Not Resuscitate (DNR) Orders***

325 If a potential donor with a life-limiting illness is approved for organ donation, the  
326 recovery hospital and the living donor should have clear documentation of the donor’s  
327 pre-, peri-, and post-surgical DNR status.

328 ***Transition from Capacity to Non-Capacity Prior to Living Donor Surgery***

329 Living organ donation by persons with certain life-limiting illness must have the capacity  
330 to provide informed consent at the time of the donor surgery to proceed with donation.

331 ***Living organ donation by persons with certain life-limiting illness and plans for  
332 physician assisted suicide***

333 The white paper does not include an analysis of living organ donation by persons with  
334 life-limiting illnesses prior to physician assisted suicide in this white paper.

335 ***Organ Euthanasia***

336 Organ euthanasia is defined as the intentional removal of life-preserving organs in order  
337 to end a person’s life, and is prohibited by the Dead Donor Rule. Organ euthanasia is  
338 presently illegal in the U.S. and outside the scope of this paper.

339 ***Initiating a Discussion Regarding Living Organ Donation with Persons with  
340 Certain Life-Limiting Illnesses***

341 Consistent with the usual practice with potential living donors without life-limiting  
342 illnesses, individuals with life-limiting illnesses should make the initial contact with the  
343 transplant hospital if they are interested in considering living organ donation. Medical  
344 professionals should not encourage such individuals with life-limiting illnesses to  
345 consider living organ donation simply because they have a life-limiting illness.

346 **Case Examples of Potential Living Donors with Certain Life-  
347 Limiting Illnesses**

348 The following case examples are provided as guidance on the option of living organ  
349 donation by persons with certain life-limiting illness. These examples are not intended to  
350 be exhaustive. For each example, individuals with certain life-limiting illnesses could be  
351 considered as a living organ donor if the individual:

- 352
- 353 • Meets the required criteria for the living donor medical and psychosocial  
354 evaluation,
  - 355 • Provides informed consent for the donor evaluation and surgery,
  - 356 • Would not be expected to have an undue risk of worsening the health  
357 status of the individual or hastening the death of the individual, as  
determined mutually by the transplant hospital and the living organ donor.

358 ***Progressive Neurological Diseases:*** Individuals with Huntington’s disease (HD),  
359 Amyotrophic Lateral Sclerosis (ALS), and Multiple Sclerosis (MS): Individuals with these

360 progressive neurological diseases could likely be considered as a living donor if the  
361 individual meets the criteria of the living donor medical and psychosocial evaluation,  
362 and the surgery would not be expected to unacceptably hasten the death of the  
363 individual.

364 ***Potential Donors with Advanced Chronic Obstructive Pulmonary Disease (COPD):***

365 COPD is an inflammatory lung disease that results in obstructed airflow from the lungs.  
366 Individuals with chronic obstructive pulmonary disease may not be considered for living  
367 donation due to the increased risk for peri-operative complications and hastening of  
368 death with surgery. However, there is a wide range of disease severity and symptom  
369 burden such that living donation may be acceptable for some individuals.

370 ***Potential Donors with Pulmonary Arterial Hypertension (PAH):*** PAH is high blood  
371 pressure in the lungs and can lead to heart failure. Individuals with pulmonary arterial  
372 hypertension may also be acceptable as living donors depending on the seriousness of  
373 their disease and symptom burden.

374 ***Potential Donors with Cystic Fibrosis (CF):*** CF is an inherited disorder that results in  
375 severe damage to the lungs and digestive system. Individuals with cystic fibrosis may or  
376 may not be considered for living donation due to the increased risk for peri-operative  
377 complications and hastening of death with surgery. The severity of the disease will likely  
378 influence whether the individual with this life-limiting illness is an appropriate living  
379 donor.

380 ***Potential Donors with Non-Metastasizing Cancers, usually of the Central Nervous***  
381 ***System:*** Individuals with non-metastasizing cancers, usually of the central nervous  
382 (brain and spinal cord) system may be appropriate living donors.

383 **Potential Benefits of Living Organ Donation by Persons with**  
384 **Certain Life-Limiting Illnesses**

385 Potential benefits of permitting living organ donation by persons with certain life-limiting  
386 illnesses include:

- 387 • Psychological benefits to the donor (e.g., providing improved self-esteem,  
388 providing enhanced meaning to one's life).
- 389 • Psychological benefit to the family or community of the donor, from knowing that  
390 their loved one was generous to other people prior to death.
- 391 • An increase in the number and quality of organs available for transplantation (i.e.  
392 a benefit to society).

393 **Potential Harms of Living Organ Donation by Persons with**  
394 **Certain Life-Limiting Illnesses**

395 Potential harms of living donation by persons with certain life-limiting illnesses include:

- 396 • The living donor's quality of life could be compromised as the donor may  
397 experience pain, or other complications that exacerbate their underlying life-  
398 limiting illness.<sup>5</sup>
- 399 • Living organ donation could hasten the living donor's death.

- 400 • Unintended effect on public understanding or trust in the transplant system.
- 401 By recognizing the potential harms for various stakeholders, transplant hospitals, and  
402 other regulatory entities may plan for mitigation of such consequences.

## 403 **Recommendations**

- 404 1. Transplant hospitals should consider individuals with certain life-limiting illnesses  
405 who express interest in living donation for living donor evaluation. That is,  
406 transplant hospitals should not automatically prevent such individuals from  
407 initiating the evaluation process.
  - 408 • Some elements of current OPTN Policies for living donor informed  
409 consent, psychosocial and medical evaluation and follow-up could be  
410 modified to accommodate the circumstances of individuals with certain  
411 life-limiting illnesses who wish to be living organ donors.
- 412 2. The OPTN should work with the transplant community, including patients and  
413 families, to determine if current policies for living donor informed consent,  
414 psychosocial and medical evaluation and follow-up are adequate and appropriate  
415 for individuals with certain life-limiting illnesses who wish to be living organ  
416 donors. If not, the OPTN could propose new additional requirements that would  
417 require and would be evaluated through future public comment.
- 418 3. Operationalizing and implementing the concept of living donation by persons with  
419 certain life-limiting illnesses will likely be challenging because of the concept's  
420 complexity. The OPTN could proactively identify ways to remove disincentives  
421 and to increase opportunities for living donation by persons with certain life-  
422 limiting illnesses, and refine assessment of transplant hospitals that undertake  
423 the recovery of organs from individuals with certain life-limiting illnesses who  
424 wish to be living organ donors.
- 425 4. The OPTN should engage in public and stakeholder education to inform potential  
426 clinical processes and ensure widespread understanding.

## 427 **Conclusion**

428 The autonomy of persons with certain life-limiting illnesses who want to be living organ  
429 donors should be honored and living donor transplant hospitals should be able to  
430 consider potential living donors with certain life-limiting illnesses without unreasonable  
431 regulatory consequence. Evaluation of any new related process or requirements  
432 needed in order to enable living donation by person with certain life-limiting illnesses  
433 can be considered through future public comment.